

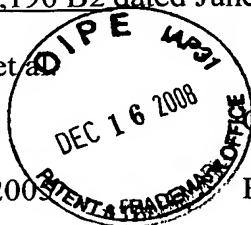
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IR DACC

In re U.S. Patent No. 7,227,190 B2 dated June 5, 2007.

Takemasa YASUKAWA, et al.

Serial No.: 10/529,254



Group Art Unit: 2823

Filed: March 25, 2005

Examiner: KEBEDE, BROOK

For: WHITE LIGHT EMITTING DEVICE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
MAIL STOP PETITIONS

**PETITION TO ACCEPT REQUEST FOR, OR, IN THE ALTERNATIVE, PETITION  
FOR, CERTIFICATE OF CORRECTION UNDER 37 C.F.R 3.81(b)**

Sir:

In response to the Office Communication (copy attached), the undersigned respectfully petitions that a Certificate of Correction be granted for the above-identified patent. In particular, it is requested that the names and addresses of the second to fourth (2nd to 4th) assignees be added as set forth in the Original Assignment, and as shown on page 2 of the original Recordation Form Cover Sheet dated March 25, 2005 (copy attached), as follows:

(73) Assignee: TOYODA GOSEI CO., Ltd.  
Nishikasugai-gun, Aichi (JP)

**TRIDONIC OPTOELECTRONICS GMBH**  
**Jennersdorf, Burgenland (AT)**

**LITEC GBR**  
**Greifswald (DE)**

**LEUCHTSTOFFWERK BREITUNGEN GMBH**  
**Breitungen (DE)**

A Transmittal Form PTOL-85B is also attached.

Thus, Applicant affirmatively states that the assignment was submitted for recordation  
before issuance of the patent.

A Request for Certificate of Correction attached hereto.

12/17/2008 SZEWDIE1 00000032 7227190

01 FC:1464

130.00 0P


U.S. Patent No.: 7,227,190 B2  
Serial No.: 10/529,254  
Docket No.: PHCF-03064US  
HIR.141

2

A processing fee for Certificate of Correction (\$100.00) was paid on November 19, 2008, with the check No.1524 (copy attached). Further, a petition fee under 37 CFR 1.17(i) of \$130.00 is attached hereto.

Please forward a Certificate of Correction to the address shown below. If there are any questions on this matter, please direct all telephone calls to the number shown below.

The Commissioner is authorized charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,  
  
Sean M. McGinn, Esq.  
Registration No. 34,386

Date:

12/16/08

**MCGINN INTELLECTUAL PROPERTY  
LAW GROUP, PLLC**

8321 Old Courthouse Road, Suite 200  
Vienna, Virginia 22182-3817  
(703) 761-4100  
Customer No. 21254

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 7,227,190 B2

DATED : June 5, 2007

INVENTOR(S) : Takemasa YASUKAWA, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Please add the names and addresses of the second to fourth (2nd to 4th) assignees as follows:

(73) Assignee: TOYODA GOSEI, CO., Ltd.  
Nishikasugai-gun, Aichi (JP)

TRIDONIC OPTOELECTRONICS GMBH  
Jennersdorf, Burgenland, (AT)

LITEC GBR  
Greifswald, (DE)

LEUCHTSTOFFWERK BREITUNGEN GMBH  
Breitungen, (DE)

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PATENT NO. \_\_\_\_\_

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Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
www.uspto.gov

Patent No. : 7,227,190 B2  
Serial No. : 10/529,254  
Inventor(s) : Yasukawa et al.  
Issued : June 5, 2007  
Title : **WHITE LIGHT EMITTING DEVICE**  
Docket No. : **PTGF-03064US**  
Re: Request for Reconsideration

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of 37 CFR 1.322 and/or 1.323.

A petition under 37 CFR 3.81 must be filed and granted to fulfill your request, to date, there is no petition on record to consider your request.

Furthermore, Assignees' and/ or Co-assignees' names and addresses (assignment data) printed in a patent are based *solely* on information supplied in the appropriate space for identifying the assignment data, i.e., item 3 of the Fee(s) Transmittal Form PTOL-85B. After payment of the issue fee, correction of assignment data submitted on the PTOL-85B can only be done by Certificate of Correction under 37 CFR 1.323, which requires petition under 37 CFR 3.81(b).

A request for a patent to be corrected to state the name of the assignee must:

- A. state that the assignment was submitted for recordation as set forth in 37 CFR 3.11 before issuance of the patent;
- B. include a request for a certificate of correction under 37 CFR 1.323 along with the fee set forth in 37 CFR 1.20(a); and
- C. include the processing fee set forth in 37 CFR 1.17(i).

If the request is granted, Certificates of Correction Branch will be notified that a Certificate of Correction may be issued.

See Manual of Patent Examining Procedure, Section 1481.01 (Rev. 3) (Oct. 2005).

*Applicant has not included items B and or C above, accordingly, the request for Certificate of Correction to add or change the assignee data is dismissed.*

Any request under 37 CFR 3.81(b) should be directed to the following address or facsimile number:

By mail: Mail Stop PETITIONS  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

By hand: Customer Service Window  
Mail Stop Petitions  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

By fax: 571-273-8300 ATTN: Office of Petitions

If, the petition under 37 CFR 1.182 (required fee currently \$130), is filed and granted, the patentee would be entitled to a certificate of correction under 37 CFR 1.323 (required fee currently \$100), which has been received.

**Ernest C. White, *LIE***  
For Mary F. Diggs (703) 308-9390 x 125  
Decisions & Certificates  
of Correction Branch  
(703) **308-9390 x 122**

MCGINN INTELLECTUAL PROPERTY LAW GROUP, PLLC  
8321 OLD COURTHOUSE ROAD  
SUITE 200  
VIENNA VA 22182-3817

ecw

08-09-2005

Dock PTGF-03064US

DEPARTMENT OF COMMERCE  
Patent and Trademark Office

10/529254  
6 Rec'd PCT/PTO 25 MAR 2005

Tab settings

To the Director of the United States

103058224

and the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1) Takemasa YASUKAWA
- 2) Shota SHIMONISHI
- 3) Koichi OTA

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Toyoda Gosei Co., Ltd.

Address: 1, Aza Nagahata, Oaza Ochiai,

Haruhi-cho,

City: Nishikasugai-gun State/Prov.: Aichi

Country: Japan ZIP: 452-8564

Additional name(s) & address(es)

☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other

Execution Date: November 22, 2004

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: November 22, 2004

Patent Application No.

Filing date

04/01/2005 MKAYPAGH 00000097 10529254

05 FC:8021

40.00 DP

B. Patent No.(s)

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Sean M. McGinn, Esq.

Registration No. 34,386

Address: McGinn & Gibb, PLLC

8321 Old Courthouse Road

Suite 200

City: Vienna State/Prov.: VA

Country: USA ZIP: 22182-3817

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

50-0481

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Sean M. McGinn, Esq.

Name of Person Signing

Signature

March 25, 2005

Date

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:  
Mail Stop Assignment Recordation Services  
Director of the United States Patent and Trademark Office  
P.O. Box 1450, Alexandria, VA 22313-1450

Docket No. PTGF-03064US HIR.141  
Patent No.: 7,227,190

Page 2

## RECORDATION FORM COVER SHEET

2. Name and address of receiving party(ies):

Name: TRIDONIC OPTOELECTRONICS GMBH

Address: Technologiepark 10, A-8380 Jennersdorf

City: Burgenland State/Prov.: \_\_\_\_\_

Country: Austria Zip: \_\_\_\_\_

3. Name and address of receiving party(ies):

Name: LITEC GBR

Address: 17489 Greifswald, Brandteichstr. 19,

City: \_\_\_\_\_ State/Prov.: \_\_\_\_\_

Country: Germany Zip: \_\_\_\_\_

4. Name and address of receiving party(ies):

Name: LEUCHTSTOFFWERK BREITUNGEN GMBH

Address: Lange Soemme 17, 98597 Breitungen,

City: \_\_\_\_\_ State/Prov.: \_\_\_\_\_

Country: Germany Zip: \_\_\_\_\_

**ASSIGNMENT OF APPLICATION FOR PATENT****WHEREAS**

NAME AND ADDRESS  
OF ASSIGNORS

Takemasa YASUKAWA, Shota SHIMONISHI and Koichi OTA  
c/o TOYODA GOSEI CO., LTD.  
1, Aza Nagahata, Oaza Ochiai, Haruhi-cho, Nishikasugai-gun,  
Aichi, 452-8564, Japan

(HEREINAFTER REFERRED TO AS ASSIGNOR), have invented  
and own a certain new and useful invention entitled:

TITLE OF INVENTION:

WHITE LIGHT EMITTING DEIVCE

**WHEREAS**

FULL NAME AND ADDRESS  
OF ASSIGNEES

TOYODA GOSEI CO., LTD.  
1, Aza Nagahata, Oaza Ochiai, Haruhi-cho, Nishikasugai-gun,  
Aichi, 452-8564, Japan

TRIDONIC OPTOELECTRONICS GMBH  
Technologiepark 10, A-8380 Jennersdorf, Burgenland, Austria

LITEC GBR  
17489 Greifswald, Brandteichstr. 19, Germany

LEUCHTSTOFFWERK BREITUNGEN GMBH  
Lange Soemme 17, 98597 Breitungen, Germany

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the  
entire right, title, and interest in, to and under said invention and the  
United States Letters Patent to be obtained therefor:

**NOW THEREFORE, TO ALL WHOM IT MAY CONCERN:** Be  
it known that in consideration of the payment of ASSIGNEE to  
ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is  
hereby acknowledged, and for other good and valuable consideration,  
ASSIGNOR, by these presents, hereby sells, assigns and transfers to  
ASSIGNEE the entire and exclusive right, title and interest to said  
invention and all Letters Patent of the United States to be obtained  
therefor on said application or any continuation, division, renewal,  
substitute or reissue thereof for the full term or terms for which the same  
may be granted.

ASSIGNOR hereby authorizes and requests the Commissioner of Patents  
and Trademarks to issue said Letters Patent of the  
United States Patent obtained therefor on said application or any  
continuation, division, renewal, substitute or reissue thereof for the  
full term or terms to said ASSIGNEE, of the entire right, title, and  
interest in and to the same, for his sole use and behoof, and for the



use and behoof of his legal representatives, to the full end of the term or terms for which said Letters Patent obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for may be granted, as full and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

DATE OF SIGNING

IN WITNESS WHEREOF, I/We have hereunto set

hand and seal this ~~22nd~~ day of ~~November~~, 2004.

SIGNATURE

By: Takemasa Yasukawa  
Takemasa YASUKAWA

SIGNATURE

By: Shota Shimonishi  
Shota SHIMONISHI

SIGNATURE

By: Koichi Ota  
Koichi OTAWITNESSESHogo Igo

REGULAR ACCOUNT  
8321 OLD COURTHOUSE ROAD, SUITE 200  
VIENNA, VA 22182  
(703) 761-4100

1524

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

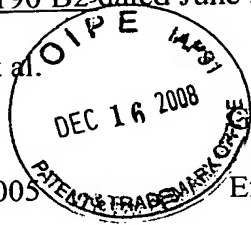
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Group Art Unit: 2823

Examiner: KEBEDE, BROOK

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**Greifswald (DE)**

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**Breitungen (DE)**

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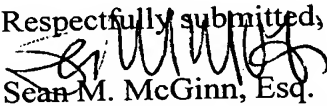
U.S. Patent No.: 7,227,190 B2  
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Docket No.: PHCF-03064US  
HIR.141

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The Commissioner is authorized charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,  
  
Sean M. McGinn, Esq.  
Registration No. 34,386

Date:

12/16/08

**MCGINN INTELLECTUAL PROPERTY  
LAW GROUP, PLLC**  
8321 Old Courthouse Road, Suite 200  
Vienna, Virginia 22182-3817  
(703) 761-4100  
Customer No. 21254

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 7,227,190 B2

DATED : June 5, 2007

INVENTOR(S) : Takemasa YASUKAWA, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

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Jennersdorf, Burgenland, (AT)

LITEC GBR  
Greifswald, (DE)

LEUCHTSTOFFWERK BREITUNGEN GMBH  
Breitungen, (DE)

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PATENT NO. \_\_\_\_\_

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